

OCT 21 2002

SHEET 1 OF 1

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
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## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Shinsuke UGA, et al.

OCT 22 2002

FILING DATE

August 27, 2001

GROUP

Technology Center 2600  
2600

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE       | NAME                 | CLASS | SUB<br>CLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|----|--------------------|------------|----------------------|-------|--------------|-------------------------------|
| JZ                  | AA | 6,016,350          | 01/18/2000 | C. FUNABE, et al.    |       |              |                               |
| JZ                  | AB | 5,987,137          | 11/16/99   | A. KARPPANEN, et al. |       |              |                               |
|                     | AC |                    |            |                      |       |              |                               |
|                     | AD |                    |            |                      |       |              |                               |
|                     | AE |                    |            |                      |       |              |                               |
|                     | AF |                    |            |                      |       |              |                               |
|                     | AG |                    |            |                      |       |              |                               |
|                     | AH |                    |            |                      |       |              |                               |
|                     | AI |                    |            |                      |       |              |                               |
|                     | AJ |                    |            |                      |       |              |                               |
|                     | AK |                    |            |                      |       |              |                               |
|                     | AL |                    |            |                      |       |              |                               |
|                     | AM |                    |            |                      |       |              |                               |
|                     | AN |                    |            |                      |       |              |                               |

## FOREIGN PATENT DOCUMENTS

|    |    | DOCUMENT<br>NUMBER | DATE     | COUNTRY | TRANSLATION |    |
|----|----|--------------------|----------|---------|-------------|----|
|    |    |                    |          |         | YES         | NO |
| JZ | AO | WO 97/13353        | 04/10/97 | WIPO    |             |    |
| JZ | AP | 0 464 563          | 01/08/92 | EUROPE  |             |    |
| JZ | AQ | WO 98/49855        | 11/05/98 | WIPO    |             |    |
|    | AR |                    |          |         |             |    |
|    | AS |                    |          |         |             |    |
|    | AT |                    |          |         |             |    |
|    | AU |                    |          |         |             |    |
|    | AV |                    |          |         |             |    |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

|    |    |   |  |  |  |  |
|----|----|---|--|--|--|--|
| JZ | AW | ETSI TS 133 102 V3.3.1, pgs. 1-60, XP-002167547, "UNIVERSAL MOBILE TELECOMMUNICATIONS SYSTEM (UMTS); 3G SECURITY; SECURITY ARCHITECTURE (3G TS 33.102 VERSION 3.3.1 RELEASE 1999)", January 1, 2000 |  |  |  |  |
|    | AX |   |  |  |  |  |
|    | AY |   |  |  |  |  |
|    | AZ |   |  |  |  | <input type="checkbox"/> Additional References sheet(s) attached |

Examiner

J. Carter

Date Considered

9/24/01

\*Examiner: Initial reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.